

Report of Non-Controlling Ownership Change and Application for Transfer of a Marijuana License*

Municipality of Anchorage
 Clerk's Office
 632 W 6th Ave., Ste. 250
 PO Box 196650
 Anchorage, AK 99519-6650



| Transferor (Applicant) | |
|---|--|
| Name of Licensee (see 3 AAC 306.020(d)) (Last, First, MI): | Municipal License Number: M |
| Business Name: | E-mail (required): |
| Business Physical Address: | License Type: <input type="checkbox"/> Cultivation Facility (including Limited Cultivation Facility) <input type="checkbox"/> Manufacturing Facility (including Concentrate Facility) <input type="checkbox"/> Retail Sales Facility <input type="checkbox"/> On-Site Consumption Endorsement <input type="checkbox"/> Testing Facility |
| Business Mailing Address: | |
| Ownership Structure: <input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partnership (including Limited Partnership) <input type="checkbox"/> Limited Liability Company <input type="checkbox"/> Corporation | |

Please select type of transfer:

- Report of Non-Controlling Ownership Change – If this box is checked, please fill out section 1.
- Application for Transfer of Controlling Interest – If this box is checked, please fill out sections 1 and 2.
- Application for Transfer of License – If this box is checked, please fill out sections 1 and 2.

SECTION 1

| Transferee Information (Use additional sheet if necessary) | | | |
|--|--|--|--|
| A. All <u>Current</u> Licensees and Affiliates: | | B. All <u>Proposed</u> Licensees and Affiliates: | |
| Last, First, MI | | Last, First, MI | |
| Home Physical Address | | Home Physical Address | |
| % Owned | | % Owned | |
| Last, First, MI | | Last, First, MI | |
| Home Physical Address | | Home Physical Address | |
| % Owned | | % Owned | |

SECTION 2

| AS REQUIRED BY AMC 10.80.045C: |
|---|
| I, the applicant, hereby swear that the following is a complete list of all debts of the business and taxes the business owes, including current contact information of each creditor. Further, I affirm that a copy of this transfer application has been distributed to all creditors. <i>Debts / Taxes:</i> |
| |
| |
| (Use additional sheet if necessary.) |
| <i>Signature:</i> |

* AMC 10.80.046A, B, and C - A licensed marijuana establishment shall, before or not later than ten days of the effective date of an agreement or contract for a non-controlling ownership change as described in this section, report the change to the Municipal Clerk's Office. If the change reported results in change in controlling interest of the marijuana establishment license, an application for transfer of ownership must be filed. "Ownership change" means: (1) If the licensee is a partnership, including a limited partnership, any change in the identity of the

partners, or in the ownership percentages held by any partners; (2) If the licensee is a limited liability company, any change in the identity of the members or managers, or in the ownership percentage held by any member; or (3) If the licensee is a corporation, any change in its corporate officers, any sale of corporate stock to a person not currently an owner, or any change of the percentage ownership of an existing shareholder.

| APPLICATION REQUIREMENTS: | |
|---|---|
| 1 copy required: | <input type="checkbox"/> Original signed Application for Transfer of Marijuana License (Renewal application may also be required per AMC 10.80.045E.) <input type="checkbox"/> Copy of AMCO Transfer of Ownership form (MJ-17), License Transfer Application form (MJ-17c), or other relevant AMCO form <input type="checkbox"/> List of all current and proposed licensees and affiliates (as indicated on this application in Sections 1 and 2) |
| (Additional information may be required.) | |

AS REQUIRED BY 3 AAC 306.055 OR AS 12.62.160:

I, the applicant, hereby swear and affirm that all licensees and affiliates have complied with the State of Alaska's fingerprint and fee requirements.
Signature:

_____ (initial) I hereby certify that I have read, understand, and will comply with all provisions of AMC 10.80.045 and AMC 10.80.046 regarding transfers of marijuana establishment licenses.

_____ (initial) I hereby certify that my establishment will remain in conformance with Municipal Code at all times.

_____ (initial) If I have a license for a marijuana cultivation facility, marijuana manufacturing facility, or marijuana retail sales establishment, I swear that neither I nor any proposed licensee (as defined in 3 AAC 306.020(b)(2)), agent, or employee of the proposed licensee, have any ownership or financial interest in any marijuana testing facility. If I have a license for a marijuana testing facility, I swear that neither I nor any proposed licensee, agent, or employee of the proposed licensee, have any ownership or financial interest in any marijuana cultivation facility, marijuana manufacturing facility, or marijuana retail sales establishment.

_____ (initial) I hereby swear that no proposed licensee (as defined in 3 AAC 306.020(b)(2)) owes past-due taxes (property, business personal property, or other), fees (utility or other), or fines (traffic, library, trash, or other) to the Municipality.

_____ (initial) In accordance with AMC 10.80.056, I will immediately provide the Municipal Clerk with any communication from the state Marijuana Control Board disclosing the substance of information received by the Board as a result of a criminal history record check.

_____ (initial) I understand that applications for transfer of a marijuana license require Assembly approval and that assigned hearing dates are tentative and may have to be postponed by the Planning Department, Municipal Clerk, or the Assembly, for administrative reasons or to meet legal requirements regarding notice and public hearings.

_____ (initial) I understand that if this application for a transfer is submitted after April 30 and before July 1, the establishment must also submit a Renewal Application for Municipal Marijuana Establishment License.

I state, under penalty of perjury, that my name and signature or mark are shown on this application and that I am the individual making the foregoing application and authorized agent for this business **and affirm that the answers to the questions, the sworn statements regarding (1) a person other than the proposed licensee(s) may not have a direct or indirect financial interest in the business being issued the license per AMC 10.80.015A (2) listing all criminal convictions and (3) past due taxes, fines, and fees, and all other information contained in this application are true and complete to my knowledge.** WARNING: I understand that it is illegal to falsely sign or forge a signature. Falsely signing this declaration is an offense and may be prosecuted. It is a crime to submit a false written statement. AMC 8.30.170 - Unsworn falsification in the second degree. Unsworn falsification is a class A misdemeanor. AS 11.56.220, AS 11.56.235, AS 11.56.240

Signature of Applicant

Date